

102803

16638 US PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	2003-0168.02 (58521.US/4665.0)
First Named Inventor	Richard L. GOIN et al.
Title	IMPROVED MICRO-FLUID EJECTION DEVICES AND METHOD THEREFOR
Express Mail Label No.	EV 327 365 609 US

APPLICATION ELEMENTS
See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents
Mail Stop PATENT APPLICATION
P.O. Box 1450
Alexandria, VA 22313-1450

1	<input checked="" type="checkbox"/>	Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)	
2	<input type="checkbox"/>	Applicant claims small entity status	
3	<input checked="" type="checkbox"/>	Specification	[Total Pages 13]
4	<input checked="" type="checkbox"/>	Drawing(s) (35 U.S.C 113)	[Total Sheets 6]
5		Oath or Declaration	[Total Pages 3]
	a.	<input checked="" type="checkbox"/> Newly executed (original or copy)	
	b.	<input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))	
	i.	<input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	
6	<input type="checkbox"/>	Application Data Sheet. See 37 C.F.R. 1.76	
7	<input type="checkbox"/>	CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
8		Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
	a.	<input type="checkbox"/> Computer Readable Form (CRF)	
	b.	Specification Sequence Listing on:	
		i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or	
		ii. <input type="checkbox"/> paper	
	c.	<input type="checkbox"/> Statement verifying identity of above copies	

ACCOMPANYING APPLICATION PARTS

9	<input checked="" type="checkbox"/>	Assignment Papers (cover sheet & document(s))	
10	<input type="checkbox"/>	37 CFR 3.73(b) Statement (when there is an assignee)	<input checked="" type="checkbox"/> Power of Attorney
11	<input type="checkbox"/>	English Translation Document (if applicable)	
12	<input type="checkbox"/>	Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
13	<input type="checkbox"/>	Preliminary Amendment	
14	<input checked="" type="checkbox"/>	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
15	<input type="checkbox"/>	Certified Copy of Priority Document(s) (if foreign priority is claimed)	
16	<input type="checkbox"/>	Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent	
17	<input type="checkbox"/>	Other:	

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner

Group / Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer No. 21972	or Bar Code Label	(Insert Customer No. or Attach bar code label here)	or Correspondence address below
Name	Lexmark International, Inc.		
Address	740 West New Circle Road		
City	Lexington	State	KY
Zip Code	40550		
Country	U.S.	Telephone	859-232-3668
Fax	859-232-7850		

Name (Print/Type)	David E. LaRose	Registration No. (Attorney)	34,369
Signature	<i>David E. LaRose</i>	Date	October 28, 2003

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Complete If Known

Application Number	
Filing Date	October 28, 2003
First Named Inventor	Richard L. GOIN et al.
Examiner Name	
Group / Art Unit	
Attorney Docket No.	2003-0168.02 (58521.US/4665.0)

TOTAL AMOUNT OF PAYMENT (\$)**864.**

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account No. **12-2355**
Deposit Account Name:
LUDEKA, NEELY & GRAHAM

☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17.

2. ☒ Payment Enclosed: **Check No. 49336**

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	750	201	375	Utility filing fee	770.
106	330	206	165	Design filing fee	
107	520	207	260	Plant filing fee	
108	750	208	375	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)				(\$)	770.

2. EXTRA CLAIM FEES

Total Claims 23 - 20** = 3 X 18. = 54.

Independent Claims 3 - 3** = 0 X 0 = 0

Multiple Dependent Claims X =

**or number previously paid, if greater.

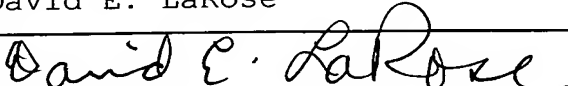
Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim	
SUBTOTAL (2)				(\$)	54.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	(\$) 40.

SUBMITTED BY

Typed or Printed Name	David E. LaRose	Registration No.	34,369
Signature		Date	October 28, 2003